# Dropbox Business Security

A Dropbox Whitepaper



# Contents

Overview	3
Under the hood	3
Dropbox Trust Program	8
Product security	9
Application security	23
Infrastructure security	25
Internal security practices	32
Privacy and transparency	36
Compliance	39
Apps for Dropbox	43
Dropbox Integrations	46
Summary	47

# Overview

With continued digital transformation taking hold across various industries, it's vital that data, teams, and devices are protected wherever they are. Organizations that rely on cloud solutions such as Dropbox Business to enable remote and distributed workflows need to streamline collaboration, proactively manage cloud risks, and implement effective controls that ensure the confidentiality of their intellectual property (IP), integrity of stored and shared data, availability of data through managed and resilient cloud service.

Over 500,000 businesses and organizations rely on Dropbox Business as the solution for remote and distributed teams to collaborate securely. The core Dropbox Business solution includes the smart workspace for collaboration, and file sync and share capabilities. Our solutions are backed by industry leading infrastructure as well as features for advanced enterprise security, team & content security, electronic signature, secure transfer, and data governance.

At the core of Dropbox Business is our comprehensive security program, the Dropbox Trust Program, that takes a multilayered approach to security, which is essential as global approaches to remote work evolve.

This whitepaper details Dropbox Business product security capabilities, Dropbox's operational security measures, our privacy and transparency commitment as well as back end policies, independent certifications and regulatory compliance measures that make Dropbox the secure solution for your organization.

Except where noted, the information in this whitepaper applies to all Dropbox Business products (Standard, Advanced and Enterprise) and Dropbox Education. Paper is a feature of Dropbox Business and Dropbox Education.

# Under the hood

Our easy-to-use interfaces are backed by an infrastructure working behind the scenes to ensure fast, reliable syncing, sharing, and collaboration. To make this happen, we continually improve our product and architecture to speed data transfer, improve reliability, and adjust to changes in the environment. In this section, we'll explain how data is transferred, stored, and processed securely.

# File infrastructure

Dropbox users can access files and folders at any time from the desktop, web, and mobile clients, or through third-party applications connected to Dropbox. All of these clients connect to secure servers to provide access to files, allow file sharing with others, and update linked devices when files are added, changed, or deleted.

Dropbox's file infrastructure is comprised of the following components:



#### Metadata Servers

Certain basic information about user data, called metadata, is kept in its own discrete storage service and acts as an index for the data in users' accounts. Metadata includes basic account and user information, like email address, name, and device names. Metadata also includes basic information about files, including file names and types, that helps support features like version history, recovery, and sync.

#### Metadata Databases

File metadata is stored in a MySQL-backed database service, and is sharded and replicated as needed to meet performance and high availability requirements.

#### Block Servers

By design, Dropbox provides a unique security mechanism that goes beyond traditional encryption to protect user data. Block Servers process files from the Dropbox applications by splitting each into blocks, encrypting each file block using a strong cipher, and synchronizing only blocks that have been modified between revisions. When a Dropbox application detects a new file or changes to an existing file, the application notifies the Block Servers of the change, and new or modified file blocks are processed and transferred to the Block Storage Servers. In addition, Block Servers are used to deliver files and previews to users. For detailed information on the encryption used by these services both in transit and at rest, please see the Encryption section below.

# Block Storage Servers

The actual contents of users' files are stored in encrypted blocks with the Block Storage Servers. Prior to transmission, the Dropbox client splits files into file blocks in preparation for the storage. The Block Storage Servers act as a Content-Addressable Storage (CAS) system, with each individual encrypted file block retrieved based on its hash value.

# Previews Servers

The Previews Servers are responsible for producing previews of files. Previews are a rendering of a user's file in a different file format that is more suited for fast display on an end user's device. Previews Servers retrieve file blocks from the Block Storage Servers to generate previews. When a file preview is requested, the Previews Servers retrieve the cached preview from the Previews Storage Servers and transfer it to the Block Servers. Previews are ultimately served to users by Block Servers.

# Previews Storage Servers

Cached previews are stored in an encrypted format in the Previews Storage Servers.

# Notification Service

This separate service is dedicated to monitoring whether or not any changes have been made to Dropbox accounts. No files or metadata are stored here or transferred. Each client establishes a long poll connection to the notification service and waits. When a change to any file in Dropbox takes place, the notification service signals a change to the relevant client(s) by closing the long poll connection. Closing the connection signals that the client must connect to the Metadata Servers securely to synchronize any changes.

# File data storage

Dropbox primarily stores two kinds of file data: metadata about files (such as the date and time a file was last changed) and the actual contents of files (file blocks). File metadata is stored on Dropbox servers. File blocks are stored in one of two systems: Amazon Web Services (AWS) or Magic Pocket, Dropbox's in-house storage system. Magic Pocket consists of both proprietary software and hardware and has been designed from the ground up to be reliable and secure. In both Magic Pocket and AWS, file blocks are encrypted at rest, and both systems meet high standards for reliability. For more details, please see the Reliability section below.

#### Paper infrastructure

Dropbox users can access Paper docs at any time from the web and mobile clients, or through thirdparty applications connected to the Dropbox Paper application. All of these clients connect to secure servers to provide access to Paper docs, allow doc sharing with others, and update linked devices when docs are added, changed, or deleted. Dropbox Paper's infrastructure is comprised of the following components:



#### Paper Application Servers

The Paper Application Servers process user requests, render the output of edited Paper docs back to the user, and perform notification services. Paper Application Servers write inbound user edits to the Paper Databases, where they are placed in persistent storage. Communication sessions between the Paper Application Servers and Paper Databases are secured with Secure Hypertext Transfer Protocol (HTTPS).

#### Paper Databases

The actual contents of users' Paper docs, as well as certain metadata about these Paper docs, are encrypted in persistent storage on the Paper Databases. This includes information about a Paper doc (such as the title, owner, create time, and other information) as well as content within the Paper doc itself, including comments and tasks. The Paper Databases are sharded and replicated as needed to meet performance and high availability requirements.

#### Metadata Servers

Paper uses the same Metadata Servers described in the Dropbox infrastructure diagram to process information about Paper docs, such as Paper doc file revision history, and shared folder membership. Dropbox directly manages the Metadata Servers, which are located in third-party, co-located data centers.

#### Metadata Databases

Paper uses the same Metadata Databases described in the Dropbox infrastructure diagram to store information related to Paper docs, such as sharing, permissions, and folder associations. Paper doc metadata is stored in a MySQL-backed database service, and is sharded and replicated as needed to meet performance and high availability requirements.

#### Paper Image Storage Servers

Images uploaded to Paper docs are stored and encrypted at rest on the Paper Image Storage Servers. Transmission of image data between the Paper Application and Paper Image Storage Servers occurs over an encrypted session.

# Previews Servers

The Previews Servers produce previews both for images uploaded to Paper docs, as well as hyperlinks embedded within Paper docs. For images uploaded to Paper docs, the Previews Servers fetch image data stored in the Paper Image Storage Servers via an encrypted channel. For hyperlinks embedded within Paper docs, Previews Servers fetch the image data and render a preview of the image using encryption as specified by the source link. Previews are ultimately served to users by Block Servers.

# Previews Storage Servers

Paper uses the same Preview Storage Servers described in the Dropbox infrastructure diagram to store cached image previews. Cached preview chunks are stored in an encrypted format in the Previews Storage Servers.

# Paper doc storage

Dropbox primarily stores the following kinds of data in Paper docs: metadata about Paper docs (such as a doc's shared permissions) and the actual contents of Paper docs uploaded by the user. These are collectively referred to as Paper doc data, and images uploaded to Paper docs are referred to as Paper image data. Each of these kinds of data is stored in Amazon Web Services (AWS). Paper docs are encrypted at rest in AWS, and AWS meets high standards for reliability. For more details, please see the Reliability section below.

# **Dropbox Trust Program**

Trust is the foundation of our relationship with millions of people and businesses around the world. We value the confidence you've put in us and take the responsibility of protecting your information seriously. To be worthy of your trust, we built and will continue to grow Dropbox with an emphasis on security, privacy, transparency and compliance.

The Dropbox Trust Program policy establishes a risk assessment process, which is designed to address environmental, physical, user, third party, applicable laws and regulations, contractual requirements, and various other risks that may affect system security, confidentiality, integrity, availability, or privacy. Performance reviews occur at least annually. More information about the Dropbox Trust Program is available at dropbox.com/business/trust.

We follow a multilayered approach to secure the enterprise, infrastructure, applications and products that impact your organization.



# **Product security**

Dropbox provides administrative control and visibility features that empower both IT and end users to effectively manage and secure data. With Dropbox, you get everything you need for work—your tools, content, and collaborators—all in one place. Dropbox is more than secure storage—it's a smart, seamless way to optimize your existing workflow.

Below is a highlight of features available to admins and users, as well as third-party integrations for managing core IT processes.

Note: Availability of features varies by subscription plan. See dropbox.com/business/plans for details.

# **Content controls**

Protecting sensitive business assets—such as intellectual property (IP) and personally identifiable information (PII)—is crucial to IT and data security teams. From granular content permissions to data retention policies and legal holds, Dropbox provides industry-leading solutions to manage, monitor, and protect your content. Below are the key Dropbox products and features that support content control.

#### Granular content permissions

#### Shared file and folder permissions

• Permissions for shared files

A team member who owns a shared file can remove access for specific users and disable commenting for the file.

# • Permissions for shared folders

A team member who owns a shared folder can remove folder access for specific users, change view/edit permissions for specific users, and transfer folder ownership. Depending on the team's global sharing permissions, each shared folder's owner may also be able to control whether it can be shared with people outside the team, whether others with edit permissions can manage membership, and whether links can be shared with people outside the folder.

#### Passwords for shared links

Any shared link can be protected with an owner-defined password. Before any file or folder data is transmitted, an access control layer verifies that the correct password has been submitted and all other requirements (such as team, group, or folder ACL) have been met. If so, a secure cookie is stored in the user's browser to remember that the password was verified previously. With sharing controls, admins can also set default passwords, instead of having them as optional, to better safeguard their team's content.

#### Expirations for shared links

Users can set an expiration for any shared link to provide temporary access to files or folders. With sharing controls, admins can also set default expirations, instead of having them as optional, to better safeguard their team's content.

#### Paper doc and shared Paper folder permissions

# • Permissions for Paper docs and shared Paper folders

A team member who owns a Paper doc or shared Paper folder can remove access for specific users and disable editing for the Paper doc.

# Permissions for Paper docs

A team member who owns a Paper doc can remove access for specific users who are explicitly listed in the share panel. Both the owner and editors of a Paper doc can change view/edit permissions for specific users as well as change the link policy of the doc. The link policy governs which users can open the doc and the permissions granted to them. The team admin can set team-wide policies for links and doc sharing.

#### Permissions for Paper folders

A team member who is a member of the folder can change the sharing policy of the folder and remove access for specific users that were explicitly added to the folder.

# File and folder actions

#### Team folders for files

Admins can create team folders that automatically give groups and other collaborators the correct access level (view or edit) to the content they need.

# Granular access and sharing controls

Sharing controls let admins manage membership and permissions at the top level or subfolder level so that people and groups inside and outside the company have access to specific folders only.

# • Team folder manager

Admins can view all their team folders and customize sharing policies from a central place to help prevent mis-sharing of confidential materials.

#### Shared folders for Paper docs

Admins can create shared Paper folders that automatically give other collaborators the correct access level—comment or edit—to the content they need.

#### Remote wipe

When employees leave the team or in the event of device loss, admins can remotely delete Dropbox data and local copies of files. Files will be removed from both computers and mobile devices when they come online and the Dropbox application is running.

#### Account transfer

After deprovisioning a user (either manually or via directory services), admins can transfer files and ownership of Paper docs created by the former team member from that user's account to another user on the team. The account transfer feature can be used while removing a user or at any time after deleting a user's account.

The following capabilities are available as add-on features (contact sales for more information)

# Scan content

With the Advanced Team and Content Controls add-on, Dropbox Business Advanced and Enterprise customers can scan for new and existing content in Dropbox to locate and avoid data vulnerabilities. With Data Classification, teams on Dropbox Enterprise will also be able to scan content and get alerted when sensitive content is shared externally.

#### Set up and trigger customized workflows

With the Advanced Team and Content Controls add-on, admins can take customizable actions against files that violate company policies.

# Set up alerts

Admins can monitor security concerns in real-time and avoid data vulnerabilities. Get alerts on files being shared externally and sensitive data scanned. With Security Alerts, Dropbox Enterprise admins will also be able to set up alerts for when sensitive content is shared externally.

# **Content visibility**

### **Security Alerts**

Alerts and Notifications

Administrators on Dropbox Enterprise can receive real-time notifications when suspicious behavior, risky activity, or potential data leaks are detected on their accounts. The following events can be monitored:

- Mass deletions
- Mass data moves
- Sensitive content shared externally
- Malware shared from outside your team
- Malware shared within your team
- Too many failed sign-in attempts
- Sign-in from a high-risk country

Dropbox also provided the ability to configure alert thresholds, adjust notification recipients, and trigger alerts when folders with sensitive files are shared externally. Admins can also mark alerts as reviewing, resolved, or dismissed. Additionally, a dashboard widget shows overall team alert insights and trends for the past week.

### **External Sharing Report and Page**

Dropbox is providing additional visibility with external sharing report and page. Admins can create a report from either the insights page or the external sharing page. The report will list all of the team's files and folders that are shared outside their team and all shared links. The external sharing page is an additional page in the Admin console that will allow admins to see and filter (file type, who shared, link settings and many more) through the files and folders shared directly out of team and shared links.

#### **Sharing Controls**

Sharing settings give team admins more control over the sharing and access to their team's content. Admins can set team-level default expirations, password restrictions, or both. These restrictions reduce risk of data loss by removing the responsibility from the users to set restrictions.

#### **Data Classification**

Teams on Dropbox Enterprise can have personal and sensitive data automatically labeled to better protect it from being exposed. Admins will receive data loss prevention (DLP) alerts via email and in the Admin Console when files or folders saved within team folders containing sensitive information are shared outside their team. Admins have the ability to automatically identify and classify sensitive data stored in shared folders and personal team member folders. Dropbox Enterprise admins can activate automatic data classification from the Admin Console.

#### Data Governance Add-On

Data Governance is the overall set of processes, technologies and teams that come together to manage and protect an organization's data assets. This includes the ability to store, identify, discover and retrieve corporate data as needed.

The Dropbox Data Governance Add-on bundles a set of features that will allow organizations to better control and secure their data, while reducing risks and costs associated with meeting regulatory and compliance needs. Currently, this add-on includes four key features for team admins and compliance admins.

# Extended Version History

Your default file version history depends on the type of Dropbox account you have. However, Dropbox Business teams can purchase an Extended Version History (EVH) add-on separately or as part of the Data Governance Add-On bundle that allows them to recover any file deleted or changed in the last 10 years.

# Legal Holds

Placing a legal hold on a team member allows team and compliance admins to view and export all the content that's been created or modified by that member. Members affected by a legal hold will not be notified of the hold and will still maintain their permissions to create, edit, and delete files.

# Data Retention

Data retention enables teams and compliance admins to prevent accidental deletion of content that is required by regulations to be held by a certain amount of time. This feature will allow customers retain data past 10 years from latest "revision" date.

# Data Disposition

Data disposition allows team and compliance admins to permanently delete data at a specified date to comply with data retention and disposition requirements. Admins can monitor activity by receiving reports alerting them of upcoming file deletions.

# Recovery and version control

Dropbox Business customers have the ability to restore deleted files and Paper docs, as well as recover previous versions of files and Paper docs, ensuring changes to important data can be tracked and retrieved.

# Data security on mobile devices

Erase data

For additional security, a user can enable the option to erase all Dropbox data from the device after 10 failed passcode attempts.

# Internal storage and offline files

By default, files are not stored on the internal storage of mobile devices. Dropbox mobile clients feature the ability to save individual files and folders to the device for offline viewing. When a device is unlinked from a Dropbox account, via either the mobile or web interface, those files and folders are automatically deleted from the device's internal storage.

# Offline Paper docs

When a device is unlinked from Paper, via the Dropbox account security page, the user is logged out, and offline Paper docs are automatically deleted from the device's internal storage.

# **Team controls**

No two organizations are exactly alike, so we've developed a number of tools that empower admins to customize Dropbox Business to their teams' particular needs. Dropbox Business includes tools for end users to further protect their accounts and data. The authentication, recovery, logging, and other security features below are available through the various Dropbox user interfaces.

Below are several control and visibility features available via the Dropbox Business admin console.

#### Granular content permissions

#### Tiered admin roles

Dropbox offers tiered admin roles to enable more effective team management. Account admins can be assigned one of three access levels. There is no limit to the number of admins a team can have, and any team member can be assigned an admin role.

#### Team admin

Can set team-wide security and sharing permissions, create admins, and manage members. The team admin has all available admin permissions. Only team admins can assign or change admin roles, and there must always be at least one team admin on a Dropbox Business account.

#### User management admin

Can address most team management tasks, including adding and removing team members, managing groups, and viewing a team's activity feed.

#### Support admin

Can address common service requests from team members, like restoring deleted files or helping team members locked out of two-step authentication. Support admins can also reset non-admin passwords and export an activity log for a specified team member.

#### Billing admin

Can access billing pages in the admin console.

### Content admin

Can create & manage team folders within the Content Manager.

Report admin

Can create reports within the admin console and has access to the Activity page.

Security admin

Can manage security alerts, external sharing, and security risks.

· Compliance admin (only available for teams with the Data Governance add-on)

Can manage Data Governance pages (legal holds, data retention, and data disposition) and also access Content Manager.

#### • Groups

Teams can create and manage lists of members within Dropbox and easily give them access to specific folders. Dropbox can also sync Active Directory groups using the Active Directory Connector.

#### Company-managed groups

Only admins can create, delete, and manage the membership for this type of group. Users cannot request to join or leave a company-managed group.

#### User-managed groups

Admins can choose whether users can create and manage their own groups. Admins can also change a user-managed group to a company-managed group at any time to take control of it.

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# Restricting multiple accounts on computers

Admins can block team members from linking a second Dropbox account to computers that are linked to their work Dropbox account.

# Suspended user state

Admins have the ability to disable a user's access to their account while preserving their data and sharing relationships to keep company information safe. Admins can later reactivate or delete the account.

# Sign in as user

Team admins can sign in as members of their teams. This gives admins direct access to the files, folders, and Paper docs in team member accounts so that they can make changes, share on behalf of team members, or conduct audits of file-level events. "Sign in as user" events are recorded in the team's activity log, and admins can determine whether members are notified of these events.

# Sharing permissions

Team admins have comprehensive control of their team's sharing abilities using Dropbox, including:

- · Whether team members can share files and folders with people outside the team
- · Whether team members can edit folders owned by people outside the team
- · Whether shared links created by team members will work for people outside the team
- Whether team members can create file requests and collect files from team members and/or people outside the team
- · Whether people can view and make comments on files owned by the team
- · Whether team members can share paper docs and paper folders outside of the team

#### Permanent delete permissions

The team admin of a Dropbox Business account can limit the ability to permanently delete files and Paper docs to team admins only.

#### Onboarding and user provisioning

#### User provisioning and identity management methods

Email invitation

A tool in the Dropbox Business Admin Console allows administrators to manually generate an email invitation.

#### Active directory

Dropbox Business administrators can automate the creation and removal of accounts from an existing Active Directory system via our Active Directory connector or a third-party identity provider. Once integrated, Active Directory can be used to manage membership.

#### Single sign-on (SSO)

Dropbox Business can be configured to allow team members access by signing into a central identity provider. Our SSO implementation, which uses the industry-standard Security Assertion Markup Language 2.0 (SAML 2.0), makes provisioning easier and more secure by placing a trusted identity provider in charge of authentication and giving team

members access to Dropbox without an additional password to manage. Dropbox has also partnered with leading identity management providers so that users can be provisioned and de-provisioned automatically. Please see the Dropbox Business API integrations section below.

• API

The Dropbox Business API can be used by customers to build custom user provisioning and identity management solutions. Please see the Dropbox Business API integrations section below.

# Two-step verification

This highly recommended security feature adds an extra layer of protection to a user's Dropbox account. Once two-step verification is enabled, Dropbox will require a six-digit security code in addition to a password upon sign-in or when linking a new computer, phone, or tablet.

- Admins can choose to require two-step verification for all team members or just specific members
- Account administrators can track which team members have two-step verification enabled
- Dropbox two-step authentication codes can be received via text message or apps which conform to the Time-Based One-Time Password (TOTP) algorithm standard
- In the event a user cannot receive security codes via these methods, they may opt to use a 16-digit, one-time-use emergency backup code. Alternately, they may use a secondary phone number to receive a backup code via text message.
- Dropbox also supports the open standard FIDO Universal 2nd Factor (U2F), which enables users to authenticate with a USB security key they've set up rather than a six-digit code.

#### • Enterprise installer

Admins requiring scaled provisioning can use our enterprise installer for Windows to install the Dropbox desktop client silently and remotely via managed-software solutions and deployment mechanisms.

#### Managed devices and log-in

# • Enterprise mobility management (EMM)

Dropbox integrates with third-party EMM providers to give admins of Dropbox Business teams on an Enterprise plan more control over how team members use Dropbox on mobile devices. Admins can restrict mobile app usage for Dropbox Enterprise accounts to just managed devices (whether company-provided or personal), gain visibility into app usage (including available storage and access locations), and remote wipe a lost or stolen device. Please note that the Paper mobile app is not manageable by EMM.

#### Device approvals

Dropbox enables admins of Dropbox Education and Dropbox Business teams on the Advanced and Enterprise plans to set limits on the number of devices that a user can sync with Dropbox, and to choose whether approvals are user-managed or admin-managed. Admins can also create an exception list of users that are not restricted to a certain number of devices. Please note that the Paper mobile app is not included in device approvals.

# Two-step verification requirement

Admins can choose to require two-step verification for all team members or just specific members. Other multi-factor authentication requirements can be enforced through the team's SSO implementation.

### Password control

Admins of Education, Advanced, and Enterprise teams can require members to set and maintain strong, complex passwords for their accounts. When this feature is enabled, team members will be signed out of any web sessions and required to create new passwords when they sign in. A built-in tool analyzes the strength of passwords by comparing them against a database of commonly used words, names, patterns, and numbers. A user entering a common password is prompted to come up with something more unique and difficult to guess. Admins can also reset passwords for the entire team or on a per-user basis.

#### • Domain management

Dropbox provides a set of tools for companies to simplify and speed up the process of onboarding users and controlling Dropbox usage.

# Domain verification

Companies can claim ownership of their domains and unlock the other domain management tools.

# Invite enforcement

Admins can require individual Dropbox users who have been invited to the company's Dropbox team to migrate to the team or change the email address on their personal account.

# Domain insights

Admins are able to see key information, such as how many individual Dropbox accounts are using company email addresses.

# Account capture

Admins can force all Dropbox users using a company email address to join the company's team or change the email address on their personal account.

#### Web session control

Admins can control how long team members can stay signed in to dropbox.com. Admins can limit the duration of all web sessions and/or sessions that are idle. Sessions reaching these limits will be signed out automatically. Admins can also track and terminate the web sessions of individual users.

#### App access

Admins have the ability to view and revoke third-party app access to user accounts.

# Unlink devices

Computers and mobile devices connected to user accounts can be unlinked by the admin through the admin console or the user through individual account security settings. On computers, unlinking removes authentication data and provides the option to delete local copies of files the next time the computer comes online (see Remote wipe). On mobile devices, unlinking removes files marked as favorites, cached data, and sign-in information. Unlinking also removes offline Paper docs from the Paper mobile application. If two-step verification is enabled, users must re-authenticate any device upon relinking. Additionally, users' account settings provide the option to send a notification email automatically when any devices are linked.

# Network control

Admins of Dropbox Business teams on an Enterprise plan can restrict Dropbox usage on the company network to only the Enterprise team account. This feature integrates with the company's network security provider to block any traffic that exists outside of the sanctioned account on computers with a specified registry key. Please note that Paper is not currently managed through network control.

#### **Mobile security**

#### • Fingerprint scanning

Users can enable Touch ID or Face ID on iOS devices and Fingerprint unlock (where supported) on Android devices as a method to unlock the Dropbox mobile app.

#### Access visibility

#### Technical support identity verification

Before any troubleshooting or account information is provided by Dropbox Support, the account admin must provide a one-time use, randomly-generated security code to validate his or her identity. This PIN is only available through the admin console.

# User account activity

Each user can view the following pages from their account settings to obtain up-to-date information regarding their own account activity:

#### Sharing page

This page shows the shared folders that are currently in the user's Dropbox, as well as shared folders the user can add. A user can unshare folders and files and set sharing permissions (described below).

# Files page

This page shows the files that have been shared with the user and the date each file was shared. The user has the option to remove their access to these files. To see Paper docs that have been shared with the user by others, the user can navigate to the "Shared with me" page within the Paper doc navigation interface.

# Links page

This page shows all active shared links that the user has created and the creation date for each. It also shows all links shared with the user by others. The user can disable links or change permissions (described below).

# Email notifications

A user can opt in to receive an email notification immediately when a new device or app is linked to their Dropbox account.

#### User account permissions

#### Linked devices

The Devices section of a user's account security settings displays all computers and mobile devices linked to the user's account. For each computer, the IP address, country, and approximate time of most recent activity is displayed. A user can unlink any device, with the option to have files on linked computers deleted the next time it comes online.

# Active web sessions

The Sessions section shows all web browsers currently logged into a user's account. For each, the IP address, country, and login time of the most recent session, as well as the approximate time of most recent activity, is displayed. A user can terminate any session remotely from the user's account security settings.

# Linked apps

The Apps linked section provides a list of all third-party apps with access to a user's account, and the type of access each app holds. A user can revoke any app's permission to access the user's Dropbox.

# Activity feed

Dropbox Business records file actions in the team's activity feed, which can be accessed from the Admin Console. The activity feed offers flexible filtering options that enable admins to conduct targeted investigations of account, file, or Paper doc activity. For example, they can view the full history of a file or Paper doc and how users have interacted with it, or view all activity for the team over a specified time period. The activity feed can be exported as a downloadable report in CSV format and also integrated directly into a SIEM (security information and event management) product or other analysis tool through third-party partner solutions. The following content events are recorded in the activity feed:

#### Sharing for files, folders, and links

Where applicable, reports specify whether actions involved people outside the team.

#### **Shared files**

- Added or removed a team member or non-team member
- · Changed the permissions for a team member or non-team member
- Added or removed a group
- Added a shared file to the user's Dropbox
- · Viewed the content of a file that was shared via a file or folder invitation
- Copied shared content to the user's Dropbox
- Downloaded shared content
- Commented on a file
- · Resolved or unresolved a comment
- Deleted a comment
- · Subscribed or unsubscribed to comment notifications
- · Claimed an invitation to a file owned by the team
- Requested access to a file owned by the team
- Unshared a file

# **Shared folders**

- · Created a new shared folder
- · Added or removed a team member, non-team member, or group
- Added a shared folder to the user's Dropbox, or user removed their own access to a shared folder
- Added a shared folder from a link
- · Changed the permissions of a team member or non-team member
- · Transferred folder ownership to another user
- Unshared a folder
- · Claimed membership to a shared folder
- · Requested access to a shared folder
- · Added requesting user to a shared folder

- · Blocked or unblocked non-team members from being added to a folder
- Allowed any team member to add people to a folder or only the owner
- · Changed group access to a shared folder

### **Shared links**

- Created or removed a link
- · Made the contents of a link visible to anyone with the link or team members only
- · Made the contents of a link password protected
- Set or removed an expiration for a link
- Viewed a link
- Downloaded the contents of a link
- · Copied the contents of a link to the user's Dropbox
- Created a link to a file via an API app
- · Shared a link with a team member, non-team member, or group
- · Blocked or unblocked non-team members from viewing links to files in a shared folder
- Shared an album

#### File requests

- · Created, changed, or closed a file request
- Added users to a file request
- Added or removed a file request deadline
- Changed a file request folder
- Received files via a file request

#### File events. Individual file and folder events.

- Added a file to Dropbox
- Created a folder
- Viewed a file
- Edited a file
- Downloaded a file
- Copied a file or folder
- · Moved a file or folder
- Renamed a file or folder
- · Reverted a file to a previous version
- Rolled back changes in files
- Restored a deleted file
- Deleted a file or folder
- · Permanently deleted a file or folder

Logins. Successful and failed logins to Dropbox.

- · Successful or failed login attempt
- Failed login attempt or error via single sign-on (SSO)
- Failed login attempt or error via EMM
- Logged out
- · Change of IP address for web session

*Passwords.* Changes to password or two-step verification settings. Admins do not have visibility into users' actual passwords.

- Changed or reset password
- · Enabled, reset, or disabled two-step verification
- · Set up or changed two-step verification to use SMS or a mobile app
- · Added, edited, or removed a backup phone for two-step verification
- · Added or removed a security key for two-step verification

*Membership.* Additions to and removals from the team.

- Invited a team member
- Joined the team
- Removed a team member
- Suspended or unsuspended a team member
- Recovered a removed team member
- Requested to join the team based on account domain
- · Approved or declined a request to join the team based on account domain
- Sent domain invites to existing domain accounts
- User joined the team in response to account capture
- · User left domain in response to account capture
- · Blocked or unblocked team members from suggesting new team members
- Suggested a new team member

#### *Apps.* Linking of third-party apps to Dropbox accounts.

- · Authorized or removed an application
- · Authorized or removed a team application

Devices. Linking of computers or mobile devices to Dropbox accounts.

- Linked or unlinked a device
- Used remote wipe and successfully deleted all files or failed to delete some files
- Change of IP address for desktop computer or mobile device

Admin actions. Changes to settings in the admin console, such as shared folder permissions.

#### Authentication and single sign-on (SSO)

- · Reset team member's password
- Reset all team members' passwords
- · Blocked or unblocked team members from disabling two-step verification
- Enabled or disabled SSO
- · Made sign-in via SSO required
- · Changed or removed the SSO URL
- · Updated the SSO certificate
- · Changed the SSO identity mode

#### Membership

- · Blocked or unblocked users from requesting to join the team based on account domain
- Set team membership requests to be automatically approved or require manual admin approval

#### Member account management

- · Changed a team member's name
- · Changed a team member's email address
- Gave or removed admin status, or changed the admin role
- Signed in or signed out as a team member
- Transferred or deleted the contents of a removed member's account
- Permanently deleted the contents of a removed member's account

#### Global sharing settings

- Blocked or unblocked team members from adding shared folders owned by non-team members
- Blocked or unblocked team members from sharing folders with non-team members
- · Turned on warnings that are shown to users before they share folders with non-team members
- · Blocked or unblocked non-team members from viewing shared links
- · Set shared links to be team-only by default
- Blocked or unblocked people from making comments on files
- · Blocked or unblocked team members from creating file requests
- · Added, changed, or removed a logo for shared link pages
- Blocked or unblocked team members from sharing Paper docs and Paper folders with non-team members

#### Team folder management for files

- Created a team folder
- · Renamed a team folder
- · Archived or unarchived a team folder
- Permanently deleted a team folder
- · Downgraded a team folder to a shared folder

#### Domain management

- Attempted to verify or successfully verified a domain, or removed a domain
- Dropbox Support verified or removed a domain
- · Enabled or disabled sending domain invites
- Turned on or off "Automatically invite new users"
- Changed account capture mode
- Dropbox Support granted or revoked account capture

#### • Enterprise mobility management (EMM)

- Enabled EMM for test (optional) mode or deploy (required) mode
- Refreshed EMM token
- Added or removed team members from EMM excluded users list
- Disabled EMM
- · Created an EMM exception list report
- Created an EMM mobile app usage report

#### Changes to other team settings

- Merged teams
- Upgraded the team to Dropbox Business or downgraded to a free team
- Changed the team name
- · Created a team activity report
- · Blocked or unblocked team members from having more than one account linked to a computer
- Allowed all team members or only admins to create groups
- · Blocked or unblocked team members from permanently deleting files
- · Started or ended a Dropbox Support session for a reseller

Groups. Creation, deletion, and membership information for groups.

- · Created, renamed, moved, or deleted a group
- · Added or removed a member
- Changed a group member's access type
- Changed group to team-managed or admin-managed
- Changed the external ID of a group

#### Paper activity log

Admins can select a type of Paper activity on the Activity feed or download a full activity report. Paper events are recorded for:

- Paper enabled or disabled
- Paper doc creation, editing, exporting, archiving, permanent deletion, and restoration
- · Paper doc commenting and resolution of comments
- · Paper doc shared and unshared with team members and non-team members
- Paper doc access requests from team members and non-team members
- Paper doc mentions for team members and non-team members
- · Paper doc viewed by team members and non-team members
- Paper doc followed
- · Paper doc member permission changes (edit, comment, or view only)
- Paper doc external sharing policy changes
- Paper folder creation, archiving, and permanent deletion
- Paper doc added to or removed from a folder
- Paper folder renamed
- Paper doc and folder transfers

# **Application security**

# Dropbox user interfaces

The Dropbox service can be utilized and accessed through a number of interfaces. Each has security settings and features that process and protect user data while ensuring ease of access.

# • Web

This interface can be accessed through any modern web browser. It allows users to upload, download, view, and share their files. The web interface also allows users to open existing local versions of files through their computer's default application.

# Desktop

The Dropbox desktop application is a powerful sync client that stores files locally for offline access. It gives users full access to their Dropbox accounts, and runs on Windows and Mac operating systems. Files are viewed and can be shared directly within the operating systems' respective file browsers.

Mobile

The Dropbox app is available for iOS and Android devices, allowing users to access all their files on the go. The mobile app also enables users to make files available for offline access.

• API

Dropbox APIs provide a flexible way to read and write to Dropbox user accounts as well as access to advanced functionality like search, revisions, and restoring files. The APIs can be used to manage the user lifecycle for a Dropbox Business account, perform actions on all members of a team, and enable access to Dropbox Business admin functionality.

# Paper user interfaces

The Paper service can be utilized and accessed through a number of interfaces. Each has security settings and features that process and protect user data while ensuring ease of access.

• Web

This interface can be accessed through any modern web browser. It allows users to create, view, edit, download, and share their Paper docs.

Mobile

The Paper mobile application is available for iOS and Android mobile devices and tablets, allowing users to access all their Paper docs on the go. The mobile application is built as a hybrid application consisting of native code (iOS or Android) wrapped around an internal webview browser.

• API

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The Dropbox API described above contains endpoints and data types for managing documents and folders in Dropbox Paper, including support for functionality such as permissions management, archive, and permanent deletion.

# Encryption

# Data in transit

To protect data in transit between Dropbox apps and our servers, Dropbox uses Secure Sockets Layer (SSL)/Transport Layer Security (TLS) for data transfer, creating a secure tunnel protected by 128-bit or higher Advanced Encryption Standard (AES) encryption. File data in transit between a Dropbox client (currently desktop, mobile, API, or web) and the hosted service is encrypted via SSL/TLS. Similarly, Paper doc data in transit between a Paper client (currently mobile, API, or web) and the hosted services is encrypted via SSL/TLS. For endpoints we control (desktop and mobile) and modern browsers, we use strong ciphers and support perfect forward secrecy and certificate pinning. Additionally, on the web we flag all authentication cookies as secure and enable HTTP Strict Transport Security (HSTS) with includeSubDomains enabled.

Note: Dropbox uses TLS exclusively and has deprecated the use of SSLv3 due to known vulnerabilities. However, TLS is frequently referred to as "SSL/TLS," so we use that designation here.

To prevent man-in-the-middle attacks, authentication of Dropbox front-end servers is performed through public certificates held by the client. An encrypted connection is negotiated before the transfer of any files or Paper docs and ensures secure delivery to Dropbox front-end servers.

# Data at rest

Dropbox files uploaded by users are encrypted at rest using 256-bit Advanced Encryption Standard (AES). Files are stored in multiple data centers in discrete file blocks. Each block is fragmented and encrypted using a strong cipher. Only blocks that have been modified between revisions are synchronized. Paper docs at rest are also encrypted using 256-bit Advanced Encryption Standard (AES). Paper docs are stored across multiple availability zones using third-party systems.

#### Key management

The Dropbox key management infrastructure is designed with operational, technical, and procedural security controls with very limited direct access to keys. Encryption key generation, exchange, and storage is distributed for decentralized processing.

#### • File encryption keys

By design, Dropbox manages file encryption keys on users' behalf to remove complexity, enable advanced product features and strong cryptographic control. File encryption keys are created, stored and protected by production system infrastructure security controls and security policies.

#### Internal SSH keys

Access to production systems is restricted with unique SSH key pairs. Security policies and procedures require protection of SSH keys. An internal system manages the secure public key exchange process, and private keys are stored securely. Internal SSH keys cannot be used to access production systems without a separate second factor for authentication.

# Key distribution

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Dropbox automates the management and distribution of sensitive keys to systems that are required for operations.

# **Certificate pinning**

Dropbox does certificate pinning in modern browsers that support the HTTP Public Key Pinning specification, and on our desktop and mobile clients in most scenarios and implementations. Certificate pinning is an extra check to make sure that the service you're connecting to is really who they say they are, and not an imposter. We use it to guard against other ways that skilled hackers may try to spy on your activity.

# **Protecting authentication data**

Dropbox goes beyond regular hashing to protect the login credentials of users. In keeping with industry best practices, each password is salted with a randomly generated, unique per-user salt, and we use iterative hashing to slow down computation. These practices help protect against brute force, dictionary, and rainbow attacks. As an added precaution, we encrypt the hashes with a key stored separately from the database, which helps to keep passwords secure in the event of a database-only compromise.

#### Malware scanning

We've developed an automated scanning system that's designed to stop malware from being spread through Dropbox's shared link feature. The system leverages both proprietary technology and industry-standard detection engines.

# Infrastructure security

# **Network security**

Dropbox diligently maintains the security of our back-end network. Our network security and monitoring techniques are designed to provide multiple layers of protection and defense. We employ industry-standard protection techniques, including firewalls, network vulnerability scanning, network security monitoring, and intrusion detection systems to ensure only eligible and non-malicious traffic is able to reach our infrastructure.

Our internal private network is segmented according to use and risk level. The primary networks are:

- Internet-facing DMZ
- Priority infrastructure DMZ
- Production network
- Corporate network

Access to the production environment is restricted to only authorized IP addresses and requires multi-factor authentication on all endpoints. IP addresses with access are associated with the corporate network or approved Dropbox personnel. Authorized IP addresses are reviewed on a quarterly basis to ensure a secure production environment. Access to modify the IP address list is restricted to authorized individuals.

Traffic from the internet destined to our production network is protected using multiple layers of firewalls and proxies.

Strict limitation is maintained between the internal Dropbox network and the public internet. Internet-bound traffic to and from the production network is carefully controlled through a dedicated proxy service and those, in turn, are protected by restrictive firewall rules.

Dropbox instruments sophisticated tool sets to monitor laptops and desktops with Mac and Windows operating systems and production systems for malicious events. Security logs are collected in a centralized location for forensic and incident response following the industry standard retention policy.

Dropbox identifies and mitigates risks via regular network security testing and auditing by both dedicated internal security teams and third-party security specialists.

# Points of Presence (PoPs)

To optimize website performance for users, Dropbox leverages third-party content delivery networks (CDNs) and Dropbox-hosted points of presence (PoPs) in 31 locations around the world. No user data is cached at these locations, and all user data being transferred is encrypted with SSL/TLS. Physical and logical access to Dropbox-hosted PoPs are restricted to authorized Dropbox personnel only. Dropbox performs optimizations at both the transport (TCP) layer and the application (HTTP) layer.

# Peering

Dropbox has an open peering policy, and all customers are welcome to peer with us. For details, please see dropbox.com/peering.

# Reliability

A storage system is only as good as it is reliable, and to that end, we've developed Dropbox with multiple layers of redundancy to guard against data loss and ensure availability.

# File metadata

Redundant copies of metadata are distributed across independent devices within a data center in at least an N+2 availability model. Incremental backups are performed hourly, and full backups are performed every three days. Metadata is stored on servers hosted and managed by Dropbox in the United States.

# File blocks

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Redundant copies of file blocks are stored independently in at least two separate geographic regions and replicated reliably within each region. (Note: For customers who choose to have their files stored in our German, Australian, or Japanese infrastructure, file blocks are replicated within their respective regions only. For more information, see the "Data centers and managed service providers" section below.) Both Magic Pocket and AWS are designed to provide annual data durability of at least 99.999999999%.

Dropbox's architecture, applications, and sync mechanisms work together to protect user data and make it highly available. In the rare event of a service availability outage, Dropbox users still have access to the latest copies of files that have been synced to the local Dropbox folder on linked computers. Copies of files synced in the Dropbox desktop client/local folder will be accessible from a user's hard drive during downtime, outages, or when offline. Changes to files and folders will be synced to Dropbox once service or connectivity is restored.

# Paper docs

Redundant copies of Paper doc data are distributed across independent devices within a data center in an N+1 availability model. Full backups of Paper doc data are also performed daily. For Paper doc storage, Dropbox uses AWS infrastructure in the United States, which is designed to provide annual data durability of at least 99.999999999%. In the rare event of a service availability outage, users still have access to the latest synced copies of their Paper docs in "offline" mode within the mobile application.

# File sync

Dropbox offers industry-recognized, best-in-class file sync. Our sync mechanisms ensure fast, responsive file transfers and enable anywhere access to data across devices. Dropbox sync is also resilient. In the event of a failed connection to the Dropbox service, a client will gracefully resume operation when a connection is reestablished. Files will only be updated on the local client if they have synchronized completely and successfully validated with the Dropbox service. Load balancing across multiple servers ensures redundancy and a consistent synchronization experience for the end user.

#### Delta sync

Using this sync method, only modified portions of files are downloaded/uploaded. Dropbox stores each uploaded file in discrete, encrypted blocks and only updates the blocks that have changed.

# Streaming sync

Instead of waiting for a file upload to complete, streaming sync will begin downloading synced blocks to a second device before all of the blocks have finished uploading from the first device. This is automatically employed when separate computers are linked to the same Dropbox account or when different Dropbox accounts share a folder.

#### Smart Sync

This feature can free up storage space on a user's computer by syncing only the files they want to their hard drives. Smart Sync frees up computer space by moving files and folders off the local hard drive while keeping everything in the cloud in the user's dropbox.com account. Smart Sync also moves files and folders that haven't been accessed in a while off the user's hard drive automatically to free up additional storage space.

#### LAN sync

When enabled, this feature downloads new and updated files from other computers on the same Local Area Network (LAN), saving time and bandwidth compared to downloading the files from Dropbox servers.

#### Architecture

There are three main components of the LAN sync system that run on the desktop app: the discovery engine, the server, and the client. The discovery engine is responsible for finding machines on the network to sync with. This is limited to machines that have authorized access to the same personal or shared Dropbox folder(s). The server handles requests from other machines on the network, serving the requested file blocks. The client is responsible for trying to request file blocks from the network.



#### **Discovery engine**

Each machine on the LAN periodically sends and listens for UDP broadcast packets over port 17500 (which is reserved by IANA for LAN sync). These packets contain the version of the protocol used by that computer; the personal and shared Dropbox folders supported; the TCP port that's being used to run the server (which may be different from 17500 if that port is unavailable); and a random identifier for the machine. When a packet is seen, the IP address of the machine is added to a list for each personal or shared folder, indicating a potential target.

#### Protocol

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The actual file block transfer is done over HTTPS. Each computer runs an HTTPS server with endpoints. A client will poll multiple peers to see if they have the blocks, but only download blocks from one server.

To keep all of your data safe, we make sure that only clients authenticated for a given folder can request file blocks. We also make sure that computers cannot pretend to be servers for folders that they do not control. To solve for this, we generate SSL key/certificate pairs for every personal Dropbox or shared folder. These are distributed from Dropbox servers to the user's computers that are authenticated for the folder. The key/certificate pairs are rotated any time membership changes (for example, when someone is removed from a shared folder). We require both ends of the HTTPS connection to authenticate with the same certificate (the certificate for the Dropbox or shared folder). This proves that both ends of the connection are authenticated.

When making a connection, we tell the server which personal Dropbox or folder we are trying to connect for by using Server Name Indication (SNI) so that the server knows which certificate to use.



#### Server/client

With the protocol described above, the server just needs to know which blocks are present and where to find them.

Based on the results of the discovery engine, the client maintains a list of peers for each personal Dropbox folder and shared folder. When the LAN sync system gets a request to download a file block, it sends a request to a random sample of the peers that it has discovered for the personal Dropbox or shared folder, and then requests the block from the first one that responds that it has the block.

To avoid latencies, we use connection pools to allow us to reuse already-started connections. We don't open a connection until it is needed, and once it is open we keep it alive in case we need it again. We also limit the number of connections to any single peer.

If a file block is not found or downloaded successfully, or if the connection turns out to be too slow, the system falls back to getting the block from Dropbox servers.

# Data centers and managed service providers

Dropbox corporate and production systems are housed at third-party subservice organization data centers and managed service providers located in different regions of the United States. Subservice organization data center SOC reports and/or vendor security questionnaires and contractual obligations are reviewed at a minimum annually for sufficient security controls. These third-party

service providers are responsible for the physical, environmental, and operational security controls at the boundaries of Dropbox infrastructure. Dropbox is responsible for the logical, network, and application security of our infrastructure housed at third-party data centers.

Our managed service provider for processing and storage, Amazon Web Services (AWS), is responsible for the logical and network security of Dropbox services provided through their infrastructure. Connections are protected through their firewall, which is configured in a default deny-all mode. Dropbox restricts access to the environment to a limited number of IP addresses and employees.

# Infrastructure in Germany, Australia, Japan and the United Kingdom

Dropbox offers storage of file blocks in regions outside the United States for qualified customers. Our infrastructure is hosted by Amazon Web Services (AWS) in Germany, Australia, Japan and the United Kingdom and replicated within the respective region to ensure redundancy and protect against data loss. File metadata is stored in the United States on Dropbox's proprietary servers. Paper docs and previews are currently stored in the United States for all customers.

# Incident response

We have incident response policies and procedures to address service availability, integrity, security, privacy, and confidentiality issues. As part of our incident response procedures, we have dedicated teams who are trained to:

- · Promptly respond to alerts of potential incidents
- · Determine the severity of the incident
- · If necessary, execute mitigation and containment measures
- Communicate with relevant internal and external stakeholders, including notification to affected customers to meet breach or incident notification contractual obligations and to comply with relevant laws and regulations
- · Gather and preserve evidence for investigative efforts
- Document a postmortem and develop a permanent triage plan

The incident response policies and processes are audited as part of our SOC 2+, ISO/IEC 27001, and other security assessments.

# **Business continuity**

Dropbox has established a business continuity management system (BCMS) to address how to resume or continue providing services to users—as well as how to function as a company—if business-critical processes and activities are disrupted. We conduct a cyclic process consisting of the following phases:

# Business impact and risk assessments

We conduct a business impact assessment (BIA) at least annually to identify processes critical to Dropbox, assess the potential impact of disruptions, set prioritized timeframes for recovery, and identify our critical dependencies and suppliers. We also conduct a company-wide risk assessment at least annually. The risk assessment helps us systematically identify, analyze, and evaluate the risk of disruptive incidents to Dropbox. Together, the risk assessment and BIA inform continuity priorities, and mitigation and recovery strategies for business continuity plans (BCPs).

# Business continuity plans

Teams identified by the BIA as critical to Dropbox's continuity use this information to develop BCPs for their critical processes. These plans help the teams know who is responsible for resuming processes if there's an emergency, who in another Dropbox office or location can take over their processes during a disruption, and which methods for communications should be used during a continuity event. These plans also help prepare us for a disruptive incident by centralizing our recovery plans and other important information, such as when and how the plan should be used, contact and meeting information, important apps, and recovery strategies. Dropbox's continuity plans are tied into our company-wide crisis management plan (CMP), which establishes Dropbox's crisis management and incident response teams.

#### • Plan testing/exercising

Dropbox tests selected elements of its business continuity plans at least annually. These tests are consistent with the BCMS's scope and objectives, are based on appropriate scenarios, and are well-designed with clearly defined aims. The tests may range in scope from tabletop exercises to full-scale simulations of real-life incidents. Based on the results of the testing, as well as experience from actual incidents, teams update and improve their plans to address issues and strengthen their response capabilities.

# Review and approval of BCMS

At least annually, our executive staff reviews the BCMS as part of reviewing Dropbox's Trust Program.

# **Disaster recovery**

To address information security requirements during a major crisis or disaster impacting Dropbox Business operations, we maintain a disaster recovery plan. The Dropbox Reliability Team reviews this plan annually and tests selected elements at least annually. Relevant findings are documented and tracked until resolution.

Our Disaster Recovery Plan (DRP) addresses both durability and availability disasters, which are defined as follows.

- A durability disaster consists of one or more of the following:
  - A complete or permanent loss of a primary data center that stores metadata, or of multiple data centers that store file blocks.
  - Lost ability to communicate or serve data from a data center that stores metadata, or from multiple data centers that store file content.
- An availability disaster consists of one or more of the following:
  - An outage greater than 10 days.
  - Lost ability to communicate or serve data from a storage service/data center that stores metadata, or from multiple storage services/data centers that store file blocks.

We define a Recovery Time Objective (RTO), which is the duration of time and a service level in which business process or service must be restored after a disaster, and a Recovery Point Objective (RPO), which is the maximum tolerable period in which data might be lost from a service disruption. We also measure the Recovery Time Actual (RTA) during Disaster Recovery testing, which is performed at least annually.

Dropbox incident response, business continuity, and disaster recovery plans are subject to being tested at planned intervals and upon significant organizational or environmental changes.

# Internal security practices

Dropbox has established an information security management framework describing the purpose, direction, principles, and basic rules for how we maintain trust. This is accomplished by assessing risks and continually improving the security, confidentiality, integrity, availability, and privacy of the Dropbox Business systems. We regularly review and update security policies, provide security training, perform application and network security testing (including penetration testing), monitor compliance with security policies, and conduct internal and external risk assessments.

# **Our policies**

We've established a thorough set of security policies that are enforced by the Dropbox security team. All security policies are reviewed and approved at least annually. Employees, interns, and contractors participate in mandatory security training when joining the company and ongoing security awareness education.

Information Security

Keeping user and Dropbox information secure.

Authentication

Describes how Dropboxers authenticate themselves to access information systems and data.

Device Security

The minimum security requirements for mobile devices used to access Company Information.

Logical Access Control

Keeping access to Dropbox systems, user and information secure. It covers access control to corporate and production environments.

Data Security

Describes how Dropbox protects data through specific storage, access, and use requirements.

Travel Security

Describes what Dropboxers should do before traveling overseas.

Sales and Customer Experience (CX) Security Guidelines

Keeping user information secure, protecting our employees and providing support to our users.

Physical Security

Maintaining a safe and secure environment for people and property at Dropbox.

Production Physical Security Guidelines

Managing physical access to production facilities.

Incident Response

Outlines the way Dropbox handles reported security, privacy, and site events and documents incident response plans for different types of incidents.

# Unauthorized Copyrighted Materials

Prohibiting employees from using Dropbox or Dropbox systems to store or share unauthorized content.

### Change Management

Managing changes to production systems. Intended for all Dropboxers, contractors, and interns with access to systems.

# User Data Privacy

Protecting and handling user information and user data at Dropbox in compliance with our Privacy Policy.

# Business Continuity Policy and Emergency Management

Describes preservation, protection, and the safety of people (Dropboxers), property, and processes (business processes).

• Dropbox Privacy Program

The purpose, principles, and accountability for the Dropbox Privacy Program.

• Dropbox Trust Program

Describes how Dropbox operates and is worthy of trust.

• Payments Environment Security

Securing and maintaining the dedicated Payments Environment used at Dropbox in order to accept credit card payments.

# **Employee policy and access**

Upon hire, each Dropbox employee is required to complete a background check, sign a security policy acknowledgement and non-disclosure agreement, and receive security training. Only individuals that have completed these procedures are granted physical and logical access to the corporate and production environments, as required by their job responsibilities. In addition, all employees are required to complete annual security training, and they receive regular security awareness training via informational emails, talks and presentations, and resources available on our intranet.

Employee access to the Dropbox environment is maintained by a central directory and authenticated using a combination of strong passwords, passphrase-protected SSH keys, two-factor authentication, and OTP tokens. Remote access requires the use of VPN protected with two-factor authentication, and any special access is reviewed and vetted by the Security team.

Access to corporate and production networks is strictly limited based on defined policies. For example, production network access is SSH key-based and restricted to engineering teams requiring access as part of their duties. Firewall configuration is tightly controlled and limited to a small number of administrators.

In addition, our internal policies require employees accessing production and corporate environments to adhere to best practices for the creation and storage of SSH private keys.

Access to other resources, including data centers, server configuration utilities, production servers, and source code development utilities is granted through explicit approval by appropriate management. A record of the access request, justification, and approval are recorded by management, and access is granted by appropriate individuals.

Dropbox employs technical access controls and internal policies to prohibit employees from arbitrarily accessing user files and to restrict access to metadata and other information about users' accounts. In order to protect end user privacy and security, only a small number of engineers responsible for developing core Dropbox services have access to the environment where user files are stored. Employee access is promptly removed when an employee leaves the company.

As Dropbox becomes an extension of our customers' infrastructure, they can rest assured that we are responsible custodians of their data. See the Privacy section below for more details.

# **Vulnerability management**

Our security team carry out regular automated and manual security testing and patch management, and work with third-party specialists to identify and remediate potential security vulnerabilities and bugs.

As a necessary component of our information security management system, findings and recommendations that result from all of these assessments are communicated to Dropbox management, evaluated, and appropriate action is taken, as determined to be necessary. Issues with high severity are documented, tracked and resolved by assigned security engineers.

# **Change management**

All development, issue remediation and patch processes follow our formal Change Management Policy that is defined by the Dropbox Engineering team to ensure that system changes have been tested and authorized prior to implementation in the production environments. Source code changes are initiated by developers who would like to make an improvement to the Dropbox application or service. Changes are stored in a version control system and are required to go through automated Quality Assurance (QA) testing procedures to verify that security requirements are met. Successful completion of QA procedures leads to implementation of the change. QA-approved changes are automatically implemented in the production environment. Our software development lifecycle (SDLC) requires adherence to secure coding guidelines, as well as screening of code changes for potential security issues via our QA and manual review processes.

Changes released into production are logged and archived, and alerts are sent to Dropbox Engineering team management automatically.

Changes to Dropbox infrastructure are restricted to only authorized personnel. The Dropbox Security team is responsible for maintaining infrastructure security and ensuring that the servers, firewalls, and other security-related configurations are kept up-to-date in line with industry standards. Firewall rule sets, and individuals with access to production servers, are reviewed regularly.

# Scanning and security penetration testing (internal and external)

Our security team performs automated and manual application security testing on a regular basis to identify and patch potential security vulnerabilities and bugs on our desktop, web (Dropbox and Paper), and mobile (Dropbox and Paper) applications.

Additionally, Dropbox contracts with third-party vendors to perform periodic penetration and vulnerability tests on the production environment. We work with third-party specialists, other industry security teams, and the security research community to keep our applications secure.

We also leverage automatic analysis systems to identify vulnerabilities. This process includes systems that we develop internally, open source systems we modify for our needs, as well as external vendors we hire for continuous automated analysis.

# Keeping harmful content off Dropbox

We have scanning capabilities that aim to prevent the storage and distribution of harmful content in Dropbox. Our scanners leverage home grown technology as well as cutting edge capabilities from partners such as Microsoft and Google to make Dropbox a safe place for our customers.

# **Bug bounties**

While we work with professional firms for penetration testing engagements and conduct our own testing in-house, bug bounties (or vulnerability rewards programs) tap into the expertise of the broader security community. Our bug bounty program provides an incentive for researchers to identify and responsibly disclose software bugs. This involvement of the external community provides our security team with independent scrutiny of our applications to help keep users safe. We strive to be among the industry leaders in bounty rewards, as well as response and remediation times.

We've established a scope for eligible submissions and Dropbox applications, as well as a responsible disclosure policy that promotes the discovery and reporting of security vulnerabilities and increase user safety. This policy sets forth the following guidelines:

- · Share the security issue with us in detail
- Please be respectful of our existing applications. Spamming forms through automated vulnerability scanners will not result in any bounty or award since those are explicitly out of scope.
- · Give us reasonable time to respond before making any information about the security issue public
- · Do not access or modify user data without permission of the account owner
- Do not view, alter, save, store, transfer, or otherwise access the data, and immediately purge any local information upon reporting the vulnerability to Dropbox
- Act in good faith to avoid privacy violations, destruction of data, and interruption or degradation of our services (including denial of service)

Issues can be reported by submitting a report to HackerOne at hackerone.com/dropbox.

# **Physical security**

# Infrastructure

Physical access to subservice organization facilities where production systems reside is restricted to personnel authorized by Dropbox, as required to perform their job function. Any individuals requiring additional access to production environment facilities are granted that access through explicit approval by appropriate management.

A record of the access request, justification, and approval are recorded by management, and access is granted by appropriate individuals. Once approval is received, an authorized member of the infrastructure team will contact the appropriate subservice organization to request access for the approved individual. The subservice organization enters the user's information into their own system and grants the approved Dropbox personnel badge access and, if possible, biometric scan access. Once access is granted to approved individuals, it is the data center's responsibility to ensure that access is restricted to only those authorized individuals.

# **Corporate offices**

#### Physical security

The Dropbox Physical Security Team is responsible for enforcing physical security policy and overseeing the security of our offices.

# Visitor and access policy

Physical access to corporate facilities, other than public entrances and lobbies, is restricted to authorized Dropbox personnel and registered visitors who are accompanied by Dropbox personnel. A badge access system ensures only authorized individuals have access to restricted areas within the corporate facilities.

#### Server access

Access to areas containing corporate servers and network equipment is restricted to authorized personnel via elevated roles granted through the badge access system. The lists of authorized individuals approved for physical access to corporate and production environments are reviewed at least quarterly.

# Privacy and transparency

Individuals and organizations trust Dropbox with their most important work every day, and it is our responsibility to protect this information and keep it private.

# **Privacy policy**

Our privacy policy is available at dropbox.com/privacy. The Dropbox Privacy Policy, Business Agreement, Terms of Service, and Acceptable Use Policy provide notice of the following terms:

- · What kind of data we collect and why
- · With whom we may share information
- · How we protect this data and how long we retain it
- · Where we keep and transmit your data
- · What happens if the policy changes or if you have questions

#### Transparency

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Dropbox is committed to transparency in handling law enforcement requests for user information, as well as the number and types of those requests. We scrutinize all data requests to make sure they comply with the law and are committed to giving users notice, as permitted by law, when their accounts are identified in a law enforcement request.

These efforts underscore our commitment to guarding the privacy of our users and their data. To this end, we maintain a transparency report and have established a set of Government Request Principles. The following principles govern our actions when receiving, scrutinizing, and responding to government requests for our users' data:

# Be transparent

We believe online services should be allowed to publish the number and types of government requests received, and to notify individuals when information about them has been requested. This type of transparency empowers users by helping them better understand instances and patterns of government overreach. We will continue to publish detailed information about these requests and advocate for the right to provide more of this important information.

### Fight overly broad requests

Government data requests should be limited to specific people and legitimate investigations. We will resist blanket and overly broad requests.

# Protect all users

Laws that give people different protections based on where they live or their citizenship are antiquated and don't reflect the global nature of online services. We will continue to advocate for the reform of these laws.

# Provide trusted services

Governments should never install backdoors into online services or compromise infrastructure to obtain user data. We will continue to work to protect our systems and to change laws to make it clear that this type of activity is illegal.

Our transparency reports can be viewed at dropbox.com/transparency.

# Privacy certifications, attestations and regulatory compliance

Everyday, people and organizations trust Dropbox with their most important work files. Because of this, it's our responsibility to protect those files and keep them private. Our commitment to your privacy is at the heart of every decision we make.

# ISO/IEC 27018 Code of Practice for Protecting Personal Data in the Cloud and ISO/IEC 27701 Extension to ISO/IEC 27001 and ISO/IEC 27002 for Privacy Information Management

Dropbox Business was one of the first major cloud service providers to achieve certification with ISO/IEC 27018, and is now one of the first major cloud service providers to achieve certification with ISO/IEC 27701.

ISO/IEC 27018 is a global standard for privacy and data protection in the cloud and was published in August 2014 to specifically address user privacy and data protection.

ISO/IEC 27701 is the first certifiable global standard for privacy information management and was published in 2019 to provide a framework for extending the information security management system (ISMS) from ISO/IEC 27001 to a privacy information management system (PIMS) by including data privacy considerations.

The standards lay out many requirements regarding how Dropbox will and will not use your organization's information:

### • Your organization is in control of your data

We only use the personal information you give us to provide you the services you signed up for. You can add, modify, or delete files and Paper docs from Dropbox when you need to.

#### We'll be transparent about your data

We'll be transparent about where your data resides on our servers. We'll also let you know who

our trusted partners are. We'll tell you what happens when you close an account or delete a file or Paper doc. Lastly, we'll tell you if any of these things change.

# • Your data is safe and secure

ISO/IEC 27018 and ISO/IEC 27701 were designed as enhancements and extensions to ISO/IEC 27001, one of the most accepted information security standards in the world. We received ISO/ IEC 27001 certification renewal in October 2021.

# Our practices are reviewed regularly

As part of our adherence to ISO/IEC 27018, ISO/IEC 27701, and ISO/IEC 27001, we will undergo annual audits by an independent third party to maintain these certifications. You can view all of our ISO certifications here.

# **Data Transfers**

When transferring data from the European Union, the European Economic Area, and Switzerland, Dropbox relies upon a variety of legal mechanisms such as contracts with our customers and affiliates, Standard Contractual Clauses (SCCs), and the European Commission's adequacy decisions about certain countries, as applicable.

Dropbox complies with the EU–U.S. and Swiss–U.S. Privacy Shield Frameworks as set forth by the U.S. Department of Commerce regarding the collection, use, and retention of personal data transferred from the European Union, the European Economic Area, the United Kingdom, and Switzerland to the United States, although Dropbox does not rely on the EU-U.S. Privacy Shield or Swiss-U.S. Privacy Shield Frameworks as a legal basis for transfers of personal data. You can find Dropbox's Privacy Shield certification at www.privacyshield.gov/list. You can also learn more about Privacy Shield at www.privacyshield.gov.

Complaints and disputes related to our Privacy Shield compliance are investigated and resolved through JAMS, an independent third party. To learn more, please see our Privacy Policy (dropbox. com/privacy).

# EU General Data Protection Regulation (GDPR)

The General Data Protection Regulation 2016/679, or GDPR, is a European Union regulation that marks a significant change to the existing framework for processing personal data of individuals in the EU. The GDPR introduced a series of new or enhanced requirements that apply to companies like Dropbox, which handle personal data. It took effect on 25 May 2018 and replaced the current EU Directive 95/46 EC, better known as the Data Protection Directive.

Dropbox is committed to the security and protection of our users' data in line with legal requirements and best practices at all times. In line with our commitment to our users, we have worked hard to ensure that Dropbox is GDPR compliant, including appointing a Data Protection Officer; re-architecting our privacy program to ensure that users can exercise their data subject rights; documenting our data processing activities; and bolstering our internal processes in the event of a security breach. We continue to make adjustments to ensure that, as further guidance continues to emerge from data protection authorities, our process and practices meet or exceed specific elements of the new rules.

# Cloud Security Alliance (CSA): Code of Conduct for GDPR Compliance

The CSA Code of Conduct for GDPR Compliance is a voluntary accountability tool and a comprehensive transparency exercise designed to enable a cloud service provider, such as Dropbox,

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to demonstrate to cloud customers how it complies with key elements of the EU General Data Protection Regulation (GDPR). Dropbox Business has completed the CSA Code of Conduct for GDPR Compliance self-assessment, which includes a thorough audit by a third-party assessor and has received a Compliance Mark of 'Declared'. For more information about the CSA Code of Conduct for GDPR Compliance and Dropbox's compliance with the Code, please visit the CSA website.

For more information about our privacy practices and policies, please see the Dropbox Privacy and Data Protection whitepaper.

# Compliance

There are various regulatory and industry-specific requirements for security and privacy that your organization may be required to comply with. Our approach is to combine the most accepted standards with compliance measures geared to the specific needs of our customers' businesses or industries.

# ISO/IEC

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The International Organization for Standardization (ISO) has developed a series of world-class standards for information and societal security to help organizations develop reliable and innovative products and services. Dropbox has certified its data centers, systems, applications, people, and processes through a series of audits by an independent third-party, EY CertifyPoint, Netherlands. EY CertifyPoint maintains its ISO accreditations from the Raad voor Accreditatie (Dutch Accreditation Council).

# ISO/IEC 27001 (Information Security)

ISO/IEC 27001 is recognized as the premier information security management system (ISMS) standard around the world. The standard also leverages the security best practices detailed in ISO/IEC 27002. To be worthy of your trust, we're continually and comprehensively managing our physical, technical, and legal controls at Dropbox.

View the Dropbox Business and Dropbox Education ISO/IEC 27001 certificate.

# ISO/IEC 27017 (Cloud Security)

ISO/IEC 27017 is an international standard for cloud security that provides guidelines for security controls applicable to the provision and use of cloud services. Our <u>Shared Responsibility Guide</u> explains several of the security, privacy, and compliance requirements that Dropbox and its customers can solve together.

View the Dropbox Business and Dropbox Education ISO/IEC 27017 certificate.

# ISO/IEC 27018 (Cloud Privacy and Data Protection)

ISO/IEC 27018 is an international standard for privacy and data protection that applies to cloud service providers like Dropbox who process personal information on behalf of their customers and provides a basis for which customers can address common regulatory and contractual requirements or questions.

View the Dropbox Business and Dropbox Education ISO/IEC 27018 certificate.

# ISO/IEC 22301 (Business Continuity)

ISO/IEC 22301 is an international standard for business continuity that guides organizations on how to decrease the probability of disruptive events and respond to them appropriately if they occur by minimizing potential damage. The Dropbox Business continuity management system (BCMS) is part of our overall risk management strategy to protect people and operations during times of crises.

View the Dropbox Business and Dropbox Education ISO/IEC 22301 certificate.

# ISO/IEC 27701 (Privacy Information Management)

ISO 27701 is an international standard for privacy information management. The standard provides a framework to enhance and extend the information security management system under ISO 27001 to a privacy information management system (PIMS). Dropbox Business and Dropbox Education have received this certification as a PII Processor.

View the Dropbox Business and Dropbox Education ISO 27701 certificate.

# SOC

Service Organization Controls (SOC) Reports, known as SOC 1, SOC 2, or SOC 3, are frameworks established by the American Institute of Certified Public Accountants (AICPA) for reporting on internal controls implemented within an organization. Dropbox has validated its systems, applications, people, and processes through a series of audits by an independent third-party auditor, Ernst & Young LLP.

# SOC 3 for Security, Confidentiality, Integrity, Availability, and Privacy

The SOC 3 assurance report covers all five Trust Services Criteria for Security, Confidentiality, Integrity, Availability, and Privacy (TSP Section 100). The Dropbox general-use report is an executive summary of the SOC 2 report and includes the independent third-party auditor's opinion on the effective design and operation of our controls.

View the Dropbox Business and Dropbox Education SOC 3 examination.

# SOC 2 for Security, Confidentiality, Integrity, Availability, and Privacy

The SOC 2 report provides customers with a detailed level of controls-based assurance, covering all five Trust Services Criteria for Security, Availability, Processing Integrity, Confidentiality, and Privacy (TSP Section 100). The SOC 2 report includes a detailed description of Dropbox's processes and more than 100 controls in place to protect your stuff. In addition to our independent third-party auditor's opinion on the effective design and operation of our controls, the report includes the auditor's test procedures and results for each control. Our SOC 2 report (sometimes referred to as a SOC 2+ report) also includes an audited mapping of our controls to the ISO standards mentioned above, providing additional transparency to our customers. The SOC 2 examination for Dropbox Business and Dropbox Education is available upon request.

# SOC 1 / SSAE 18 / ISAE 3402 (formerly SSAE 16 or SAS 70)

The SOC 1 report provides specific assurances for customers who determine that Dropbox Business or Dropbox Education is a key element of their internal controls over financial reporting (ICFR) program. These specific assurances are primarily used for our customers' Sarbanes-Oxley (SOX) compliance. The independent third-party audit is conducted in accordance with the Statement on Standards for Attestation Engagements No. 18 (SSAE 18) and the International Standard on Assurance Engagements No. 3402 (ISAE 3402). These standards have replaced the deprecated Statement on Standards for Attestation Engagement No. 16 (SSAE16) and Statement on Auditing

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Standards No. 70 (SAS 70). The SOC 1 examination for Dropbox Business and Education is available upon request.

# CSA

### Cloud Security Alliance: Security, Trust, and Assurance Registry (CSA STAR)

The CSA Security, Trust & Assurance Registry (STAR) is a free, publicly-accessible registry that offers a security assurance program for cloud services, thereby helping users assess the security posture of cloud providers they currently use or are considering contracting with.

Dropbox Business and Dropbox Education have received both the CSA STAR Level 2 Certification and Level 2 Attestation. CSA STAR Level 2 requires a third-party independent assessment of our security controls by EY CertifyPoint (for Certification) and Ernst & Young LLP (for Attestation), based on the requirements of ISO/IEC 27001, SOC 2 Trust Service Criteria, and the CSA Cloud Controls Matrix (CCM) v3.0.1. View our CSA STAR Level 2 Certification and Attestation on the CSA website.

# **HIPAA / HITECH**

Dropbox will sign Business Associate Agreements (BAAs) with Dropbox Business or Dropbox Education customers who require them in order to comply with the Health Insurance Portability and Accountability Act (HIPAA) and the Health Information Technology for Economic and Clinical Health Act (HITECH). Please see our "Getting started with HIPAA" guide and help center article for more detailed information.

Dropbox makes available a third-party assurance report evaluating our controls for the HIPAA/ HITECH Security, Privacy, and Breach Notification rules, as well as a mapping of our internal practices and recommendations for customers who are looking to meet the HIPAA/HITECH Security and Privacy Rule requirements with Dropbox Business or Dropbox Education.

Customers interested in requesting these documents or learning more about purchasing Dropbox Business or Dropbox Education can reach out to our sales team. If you're currently a Dropbox Business or Dropbox Education team admin, you can sign a BAA electronically from the Account page in the Admin Console.

Please note that the ability to sign an electronic BAA via the Admin Console is available only to US-based customers.

Dropbox Business Security Whitepaper

# NIST 800-171

The U.S. National Institute of Standards and Technology (NIST) promotes and maintains standards and guidelines to help protect information systems. The NIST Special Publication (SP) 800-171 Revision 2 (R2), provides guidelines on protecting Controlled Unclassified Information (CUI) in nonfederal information systems and organizations. Any entity that processes or stores U.S. government CUI, such as research institutions and the education sector, should comply with NIST SP 800-171 R2. Dropbox's CUI systems, processes, and controls were validated by an independent third-party auditor, Ernst & Young LLP.

The NIST SP 800-171 R2 report for Dropbox Business and Dropbox Education is available upon request through our sales team or (for existing Dropbox Business customers) support.

Please note that Dropbox Paper is not included in the scope of the NIST SP 800-171 R2 report.

# FERPA and COPPA (Students and Children)

Dropbox Business and Dropbox Education allows customers to use the services in compliance with the vendor obligations imposed by the US Family Education Rights and Privacy Act (FERPA). Educational institutions with students under the age of 13 can also use Dropbox Business or Dropbox Education consistent with the Children's Online Privacy Protection Act (COPPA), provided that they agree to specific contractual provisions requiring the institution to obtain parental consent regarding the use of our services.

# **UK Digital Marketplace G-Cloud**

Dropbox Business is listed in the United Kingdom (UK) Digital Marketplace for government cloud services procurement. View our listings on the UK Digital Marketplace website for Dropbox Business Standard Plan, Dropbox Business Advanced Plan, and Dropbox Enterprise Plan.

Please note that Dropbox Paper is not included in the UK Digital Marketplace G-Cloud listing.

# FDA 21 CFR Part 11

Title 21 of the Code of Federal Regulations (CFR) governs food and drugs within the United States for the Food and Drug Administration (FDA), the Drug Enforcement Administration, and the Office of National Drug Control Policy. Part 11 of Title 21 sets forth the criteria under which FDA considers electronic records and signatures to be trustworthy, reliable, and generally equivalent to paper records and handwritten signatures executed on paper.

Please see our Dropbox and FDA 21 CFR Part 11 Whitepaper and help center article for more information on how Dropbox can help aid in your compliance efforts with 21 CFR Part 11.

Dropbox Business Security Whitepaper

# PCI DSS

Dropbox is a Payment Card Industry Data Security Standard (PCI DSS) compliant merchant. However, Dropbox Business, Dropbox Education, and Dropbox Paper are not meant to process or store credit card transactions. The PCI Attestation of Compliance (AoC) for our merchant status is available upon request.

More information about Dropbox Business and Dropbox Education compliance.

Visit dropbox.com/business/trust/compliance.

# Apps for Dropbox

The DBX Platform is composed of a robust ecosystem of developers who build on top of our flexible Application Programming Interfaces (APIs). More than 750,000 developers have built applications and services on the platform for productivity, collaboration, security, administration, and more.

# **Pre-Built Components**

The Chooser, Saver, and Embedder are pre-built web and mobile components that allow easy access to Dropbox in 3rd party apps/sites in just a few lines of code.

- · The Chooser enables selection of files from Dropbox
- · The Saver allows users to save files directly to Dropbox
- · The Embedder allows users to view files and folders from Dropbox

The authorization to these components is entirely through Dropbox. Apps are granted access to files selected by the choose through Dropbox shared links or short lived download links. These pre-built components may be used independently, or in conjunction with the API, described below.

# **Dropbox Business API integrations**

The public Dropbox API allows 3rd party developers the ability to access and interact with Dropbox within their applications. This includes file and metadata interaction, sharing, and team functionality.

#### Authorization

Dropbox uses OAuth, an industry-standard protocol for authorization, to allow users to grant apps account access without exposing their account credentials. We support OAuth 2.0 for authenticating API requests; requests are authenticated through the Dropbox website or mobile app. Dropbox support OAuth best practices, including short-lived access tokens and PKCE for distributed apps.

#### **User Permissions**

Apps using the Dropbox API can be built with the following level of content access to end user's Dropbox:

# App folder

A dedicated folder named after the app is created within the Apps folder of a user's Dropbox. The app receives read and write access to this folder only and users can provide content to the app by moving files into this folder. In addition, the app may request file/folder access via the Chooser or Saver.

# Full Dropbox

The app receives full access to all the files and folders in a user's Dropbox, and may also request file/folder access via the Chooser or Saver (see below).

Applications may also request specific scopes, restricting their behaviors by access to subsets of API endpoints. For example, applications may be limited to read-only access of files—or the ability to upload content, but not to create shares.

# **Team Permissions**

Administrators of Dropbox Business teams may authorize applications to administration functionality found the team's admin console. The actions team linked apps are able to perform are limited through scopes, specifying what team settings the app may read or manage.

Common combinations of scopes combinations include:

# Team information

Read-only information about the team and high-level usage.

Team auditing

Read-only access to team info & the detailed event log.

• Team member file access

The ability to perform actions on behalf of users on the team, such as managing their files & folders.

Team member management

Adding & removing members to the team.

#### Webhooks

Webhooks are a way for web apps to get real-time notifications about changes in a user's Dropbox. Once a URI is registered to receive webhooks, an HTTP request will be sent to that URI every time there's a change for any of the app's registered users. Using the Dropbox Business API, webhooks can also be used to generate notifications about changes to team membership. Many security apps use webhooks to help admins track and manage team activities.

# Extensions

Apps may register extension URIs, enabling actions to appear in the 'Share' and 'Open' menus in the Dropbox UI. Extensions allow users to kick off custom third party workflows directly from a file in a Dropbox surface. When an action is triggered, Dropbox will redirect users to the URI specified, passing a file identifier that can be used with the API to perform any file operation. An app needs to be authorized before a registered extension is visible to the user. We may promote a select set of extension integrations (described later in this doc) in the 'Share' and 'Open' menus, though these apps won't have access to content until user authorizes it.

# **Dropbox developer guidelines**

We provide a number of guidelines and practices to help developers create API apps that respect and protect user privacy while enhancing users' Dropbox experience.

# App keys

For each distinct app a developer writes, a unique Dropbox app key must be used. In addition, if an app provides services or software that wrap the DBX Platform for other developers to use, each developer must also sign up for their own Dropbox app key.

# App permissions

Developers are instructed that an app should use the least privileged permission it can. When a developer submits an app for production status approval, we review that the app doesn't request an unnecessarily broad permission based on the functionality provided by the app.

#### App review process

# Development status

When a Dropbox API app is first created, it is given development status. The app functions the same as any production status app, except that it can only be linked with up to 500 total Dropbox users. Once an app links 50 Dropbox users, the developer has two weeks to apply for and receive production status approval before the app's ability to link additional Dropbox users will be frozen.

# • Production status and approval

In order to receive production status approval, all API apps must adhere to our developer branding guidelines and Terms & Conditions, which include prohibited uses of the DBX Platform. These uses include: promoting IP or copyright infringement, creating file sharing networks, and downloading content illegally. Developers are first prompted for additional information regarding their app's functionality, and how it uses the Dropbox API before submitting for review. Once the app is approved for production status, any number of Dropbox users can link to the app.

# **Team App Administration**

Inside the team administration console, administrators of Dropbox Business teams may manage the linked apps and integrations (described below) for their team.

# **API Partnerships**

Dropbox has worked closely with its technology partners to enable them to develop integrations with their popular software packages. These partners build applications using Dropbox APIs, working closely with Dropbox architects to follow best security and UX practices. These include a variety of end user productivity apps, as well as security & management tools such as:

# · Security information and event management (SIEM) and analytics

Connect your Dropbox Business account to SIEM and analytics tools to monitor and evaluate user sharing, sign-in attempts, admin actions, and more. Access and manage employee activity logs and security-relevant data through your central log management tool.

# • Data loss prevention (DLP)

Automatically scan file metadata and content to trigger alerts, reporting, and actions when important changes are made in your Dropbox Business account. Apply company policies to your Dropbox Business deployment and help meet regulatory compliance requirements.

#### eDiscovery and legal hold

Respond to litigation, arbitration, and regulatory investigations with data from your Dropbox Business account. Search for and collect relevant electronically stored information, and preserve your data through the eDiscovery process, saving your business time and money.

### • Digital rights management (DRM)

Add third-party content protection for sensitive or copyrighted data stored in employee accounts. Gain access to powerful DRM features including client-side encryption, watermarking, audit trails, access revocation, and user/device blocking.

Data migration and on-premises backup

Migrate data to Dropbox from existing servers or other cloud-based solutions, saving time, money, and effort. Automate backups from your Dropbox Business account to on-premise servers.

#### • Identity management and single sign-on (SSO)

Automate the provisioning and de-provisioning process and speed up onboarding for new employees. Streamline management and bolster security by integrating Dropbox Business with an existing identity system.

# Custom workflows

Build in-house apps that integrate Dropbox into existing business processes to enhance their internal workflows.

See the Dropbox App Integrations page for a list of these technology partners. End users may discover select 1st and 3rd party apps & integrations in the App Center.

# **Dropbox Integrations**

We have also worked with some of our top technology partners to build integrations featured in Dropbox surfaces. These deeper integrations are co-developed by Dropbox & the partner. These include:

#### **Dropbox Extensions**

These integrations let you use various types of app extensions to seamlessly perform actions, like publishing a video, adding files to emails and chats, sending a file for eSignature, and more, right from Dropbox. These applications are built by the partner, while Dropbox facilitates discovery of select Extension partners through 'Open with' and 'Share with' menu.

#### Slack, Zoom, and Trello

These integrations are built 1st party by Dropbox, enabling users to start slack conversations, start meetings, and create tasks from within Dropbox. End users authenticate to these tools via OAuth.

# Microsoft Office for mobile and web

Our Microsoft Office integrations allow users to open Word, Excel, and PowerPoint files stored in their Dropbox; make changes in the Office mobile or web apps; and save those changes directly back to Dropbox. Users are prompted to grant access on the first attempt to open a Dropbox file in each Office mobile app or any Office web app. Subsequent launches will retain these links.

# Adobe Acrobat and Acrobat Reader

Our integrations with the desktop and mobile (Android and iOS) versions of these apps enable users to view, edit, and share PDFs stored in their Dropbox. Users are prompted to grant access on the first attempt to open a Dropbox file in each app. Changes to PDFs are saved back to Dropbox automatically.

# Summary

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Dropbox Business offers easy-to-use tools to help teams collaborate effectively, while providing the security measures and compliance certifications organizations require. With a multi-layered approach that combines a robust back-end infrastructure with a customizable set of policies, we provide businesses a powerful solution that can be tailored to their unique needs. To learn more about Dropbox Business, contact our sales team at sales@dropbox.com.